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*SCHUYLKILL COUNTY HOUSING  
AUTHORITY*

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**HUD-50075**

**2013-2017 FIVE-YEAR AND ANNUAL PLAN**

December 13, 2012

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<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Schuylkill County Housing Authority</u> PHA Code: <u>PA016</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2013</u>				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>610</u> Number of HCV units: <u>631</u>				
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH      HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.				
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  <p style="text-align: center;"><b>The mission of the Schuylkill County Housing Authority is: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.</b></p>				
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  <p style="text-align: center;"><b>See attached Section 10.0 Additional Information</b></p>				
<b>6.0</b>	<b>PHA Plan Update</b>  (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <ol style="list-style-type: none"> <li><b>1. Personnel Policy</b></li> <li><b>2. Flat Rents</b></li> <li><b>3. HCV Payment Standards</b></li> <li><b>4. Ethics Policy and Code of Conduct</b></li> </ol> (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.  <p style="text-align: center;"><b>The SCHA 2013 Annual Plan may be obtained by the public including updates, at each Asset Management Project (AMP) and main office of the Authority at 245 Parkway, Schuylkill Haven, Pennsylvania. SCHA has also provided each Commissioner and Advisory Council member with a copy of its 2013 Annual Plan and Five-Year Plan.</b></p>				

7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p><b>Homeownership: The Housing Authority has included procedures in its Administrative Plan to administer a Homeownership Program utilizing its Housing Choice Vouchers if there is a determination of need.</b></p> <p><b>Project-based Vouchers. The Housing Authority wishes to use the project-based voucher program, on an as needed basis not to exceed the HUD limitations, throughout Schuylkill County in accordance with the Section 8 Housing Voucher Administrative Plan as adopted December 20, 2007.</b></p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><b><u>See Attached Annual Statement/Performance and Evaluation Reports</u></b></p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><b><u>See Attached Capital Fund Program Five-Year Action Plan</u></b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p><b><u>See attached Section 9.0 for Housing Needs Information</u></b></p>
9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p><b><u>See attached Section 9.1 for Strategy for Addressing Housing Needs</u></b></p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p><b><u>See Section 5.2 Above</u></b></p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p><b><u>See Section 10 (b) Additional Information attached for updated definition</u></b></p>

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<b>11.0</b>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"><li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li><li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li><li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li><li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li><li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li><li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</li><li>(g) Challenged Elements<ul style="list-style-type: none"><li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li><li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li></ul></li></ul>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

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## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### **1.0 PHA Information**

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### **2.0 Inventory**

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### **3.0 Submission Type**

INDICATE WHETHER THIS SUBMISSION IS FOR AN ANNUAL AND FIVE YEAR PLAN, ANNUAL PLAN ONLY, OR 5-YEAR PLAN ONLY.

### **4.0 PHA Consortia**

Check box if submitting a Joint PHA Plan and complete the table.

### **5.0 Five-Year Plan**

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

### **PHA Plan Elements.** (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.
2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-

sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.
9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

## 7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent **that approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>
- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;

- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

## 8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

- 8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

- 9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (**Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

- 9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (**Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (**Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.**)
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (**Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.**)
- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (**Note: Standard and Troubled PHAs complete annually.**)

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.

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- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (**Must be attached electronically for PHAs receiving CFP grants only**). See instructions in 8.2.

## *6.0 PHA Plan Update.*

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### PHA Plan Updates

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- (a) The following elements of the Schuylkill County Housing Authority's (SCHA) plan elements have been revised since the Authority's prior submission:

The following is a summary of the Personnel Policy Updates:

1. Incorporated changes in Pennsylvania's Housing Authority's Law allowing for employment agreements with any individual who is or will be hired in any position that is not represented by a bargaining unit.
2. Incorporated federal requirements that limit the pay salaries to chief executive officers or other officials or employees to the Federal Level IV Executive Schedule basic pay rate and also requiring salary comparability data for those positions.
3. Incorporated Cobra law requirements to offer health care continuation coverage to qualified employees and dependents that lose their insurance as a result of a qualifying event.
4. Incorporated the Federal and Commonwealth requirements for military leave under the Uniformed Services Employment and Re-employment Rights Act as amended (USERRA) regarding seniority, status, pay and fringe benefits should it become necessary to take a leave of absence for Service.
5. Updated the Family and Medical Leave policy to reflect changes in the Family and Medical Leave Act of 1993 (FMLA) which includes benefits for military family members, Qualifying Exigency Leave and proper notice.
6. Updated the electronic communications policy to include the prohibition of the use of camera and videophone use on the Housing Authority workplaces containing proprietary materials, documents, designs and/or other types of confidential information and personal or confidential employee or client information.

### PHA Plan Elements. (24 CFR 903.7)

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#### **1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures:**

##### Eligibility, Selection, and Admissions Policies.

Affordable Housing Eligibility. Sections of the Public Housing Admission and Occupancy Policy indicate the primary descriptions of the suitability and eligibility requirements for SCHA's conventional Affordable Housing Program (formerly known as the "Public Housing Program"). Eligibility requirements for the Affordable Housing Program include family and household criteria, income verification and limits criteria; citizenship and eligible immigration status; successful passage of criminal history, previous landlord and

background screening; option for previous landlord checks; documentation of Social Security numbers; and consent authorization documents.

Housing Choice Voucher Program Eligibility. Sections of the Housing Choice Voucher Program (formerly known as “Section 8”) Administrative Plan outline the eligibility, suitability, selection and admissions requirements for the Housing Choice Voucher Program (formerly known as “Section 8”) Program (“HCVP”). Eligibility requirements for HCVP include: family and household criteria; income verification and limits criteria; citizenship and eligible immigration status; successful passage of criminal history; previous landlord and other background screening; documentation of Social Security numbers; and consent authorization documents.

Other Selection Criteria. SCHA selects resident families for its Affordable Housing, and participant families for its Housing Choice Program based on identified local preferences or selection criteria governing each bedroom size category or program goals all of which are based on the local housing needs and priorities. In addition to eligibility criteria, families must meet other SCHA screening criteria as outlined in the Admission and Occupancy Policy and Housing Choice Administrative Plan. All selections to and participation in the programs described above, are subject to the availability of an appropriately sized unit for the resident or participant family.

### Deconcentration Procedures.

Selection Method of the Admission and Occupancy Policy explains that SCHA provides for deconcentration of poverty and encourages income mixing by ensuring that families are housed in a manner that will prevent, to the extent practicable, a concentration of poverty families and/or a concentration of higher income families in any one development. The specific objective of the SCHA is to house no less than 40% of its public housing inventory with families that have income at or below 30% of the area median income by public housing development. In addition, the SCHA will take reasonable actions to ensure that no individual development has a concentration of higher or lower income families in one or more of the developments.

To accomplish the deconcentration goals, the SCHA contemplates taking the following actions:

- At the beginning of each SCHA fiscal year, the SCHA will establish a goal for housing 40% of its new admissions with families whose incomes are at or below the area median income. The annual goal will be calculated by taking 40% of the total number of move-ins from the previous SCHA fiscal year.
- Moreover, to accomplish the goals of deconcentration, to the extent practicable, SCHA annually will monitor the average income of all families residing in all of SCHA’s covered developments to determine SCHA’s progress in meeting its deconcentration goals and if appropriate, make modifications to address any concerns that arise from the monitoring analysis.

### Waiting List Procedure.

Sections of the Admission and Occupancy Policy outline the SCHA Waiting List Procedure for affordable housing. The SCHA Affordable Housing Program maintains Site-Based Waiting Lists for each of its communities affordable housing communities. Interested

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persons may apply for admission to affordable housing at the SCHA development site management office to which they would like to apply.

SCHA maintains separate waiting lists for Housing Choice Voucher Program, as outlined in the Housing Choice Administrative Plan. Interested persons may apply for admission for Housing Choice assistance at the Housing Choice Department located at 245 Parkway, Schuylkill Haven when the applicable waiting list is open.

**2. Financial Resources.** The following statement of financial resources, includes a listing by general categories of the Housing Authority's anticipated resources, Operating, Capital and other anticipated Federal resources available to SCHA, as well as tenant rents and other income available to support public housing or tenant-based assistance:

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2012 grants)</b>		
a) Public Housing Operating Fund	\$1,583,674	Operating
b) Public Housing Capital Fund	\$766,672	Capital/Operating
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$2,650,000	HAP & Administration
f) Resident Opportunity and Self-Sufficiency Grants		
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (unobligated funds only) (list below)		
Capital Fund Program 2012	\$400,870	Cap Imp/Operating
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
Capital Fund Program 2011	\$17,500	Cap Imp/Operating
<b>3. Public Housing Dwelling Rental Income</b>		
<b>Excess Utilities</b>	\$108,000	Operating
<b>4. Other income (list below)</b>		
<b>5. Non-federal sources (list below)</b>		

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
County Act 137 Funds	\$50,000	Affordable Housing
<b>Total Resources</b>	<b>\$5,753,731</b>	

**3. Rent Determination.** The policies of SCHA governing rents charged for public housing and HCV dwelling units, **have not been revised since the Authority’s prior submission.**

**The Following is a Summary of SCHA’s Rent Determination Policies** [24 CFR Part 903.12(b), 903.7(d)]

**A. Public Housing**

**(1) Income Based Rent Policies**

The following describes SCHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions:

**Use of discretionary policies:** SCHA does not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions).

**Minimum Rent:** SCHA has established \$50.00 as a minimum rent and has adopted the following discretionary minimum rent hardship exemption policies:

- The family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program;
- The family would be evicted as a result of the imposition of the minimum rent requirement;
- The income of the family has decreased because of changed circumstance, including loss of employment;
- A death in the family has occurred; and
- Other circumstances determined appropriate and acceptable by the Housing Authority or the Department of Housing and Urban Development

SCHA does not plan to charge rents at a fixed amount or percentage less than 30% of adjusted income.

**Rent re-determinations:** Tenants must report changes in income or family composition to SCHA any time the family experiences such changes that may result in an adjustment to rent. Any time a family experiences an income increase above the following threshold amount: interim increase in rent due to a change in income shall

only be implemented when the total annual gross income increases three thousand five hundred dollars (\$3,500) or more, except:

- (1) if a new member is added to the lease, an adjustment will be made regardless of the amount of income; or
- (2) if a tenant paying a minimum rent (\$50) obtains income from any source, an adjustment will be made regardless of the amount of income.

SCHA does not plan to implement individual savings accounts for residents (ISA) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year.

**(2) Flat Rents:** In setting the market-based flat rents, SCHA utilizes the payment standard approved by the Board which is based on a percentage of the current FMRs to establish a flat rent for each development.

SCHA has established the Flat Rents for the Public Housing Program effective November 1, 2012 for all new admissions and annual reexaminations with an effective date of December 1, 2012 as follows:

Bedroom Size	Flat Rents
0	\$371
1	\$490
2	\$620
3	\$799
4	\$856
5	\$984

**B. Section 8 Tenant-Based Assistance**

**(1) Payment Standards:** SCHA's payment standard is established at 100.5% for the 0 bedroom units; 100% for the 2 and 4 bedroom units; 103% for the 1 bedroom units; and 94% for three bedroom size units of the 2013 HUD published Fair Market Rents (FMR) for the Schuylkill County.

The payment standards are reevaluated annually by SCHA for adequacy. SCHA will consider the success rates of assisted families in locating and leasing affordable housing that meets HQS in its assessment of the adequacy of its payment standard.

**(2) Minimum Rent:** SCHA has established \$50.00 as the minimum rent for the Section 8 Voucher Program.

SCHA has adopted the following discretionary minimum rent hardship exemption policies:

- the family has lost eligibility for or is awaiting an eligibility determination for a Federal, State, or local assistance program;
- the family would be evicted as a result of the imposition of the minimum rent requirement;

- the income of the family has decreased because of changed circumstance, including loss of employment;
  - a death in the family has occurred; and
  - other circumstances determined appropriate and acceptable by the Housing Authority or the Department of Housing and Urban Development .
- 4. Operation and Management.** A statement of the rules, standards, and policies of the Schuylkill County Housing Authority governing maintenance and management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of SCHA and programs of SCHA are available to the public at the main office of the Authority.
- 5. Grievance Procedures.** The policies of SCHA governing the grievance and informal hearing and review procedures that the Housing Authority makes available to its residents and applicants, **have not been revised since the Authority's prior submission.**
- 6. Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by SCHA, there are no projects (or portions thereof), in the upcoming fiscal year, that the Housing Authority has designated or will apply for designation for occupancy by elderly and disabled families.
- 7. Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).

### Policy Overview

***Community Service is defined as “the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community.” Community Service is not employment and may not include political activities.***

The Community Service and Economic Self-Sufficiency requirements mandate that each non-exempt adult household member (18 years or older), shall either contribute eight hours per month of community service within their community, or participate in an Economic Self-Sufficiency program for eight hours per month. This Chapter provides SCHA's requirements.

### Community Service Contributions

Federal regulations mandate that all adult residents must contribute eight (8) hours per month of community service, or participate in an economic

self-sufficiency program for eight (8) hours per month as a condition for continued public housing assistance.

The exceptions to this requirement are for person(s) that are:

- Elderly
- Blind or disabled as defined under law and unable to comply with the community service requirement, or the caretaker of such an individual.
- Working or exempted from work by the State.
- Receiving assistance and not in noncompliance with State or TANF requirements.

Persons with a disability are not automatically exempt from community service requirements. A person is exempt only to the extent the disability makes the person “unable to comply.”

### Community Service Activities

The Authority will strive to give residents the greatest choice possible of community service activities. These activities could include, but are not limited to:

- Improving the physical environment of the resident’s development.
- Volunteer work in a local school, hospital, childcare center, homeless shelter, etc.
- Working with youth organizations.
- Helping neighborhood groups on special projects.
- Participation in programs that develop and strengthen resident self responsibility such as drug and alcohol abuse counseling and treatment, household budgeting and credit counseling, and English proficiency.

Federal regulations specifically prohibits political activity as community service.

**Housing Authority Options for Community Service:** The Authority can administer its own community service program, with cooperative relationships with other entities, or contract the entire community service program to a third party, which includes qualified resident councils.

The Authority must follow procurement policies and 24 CFR 85.36 in order to contract out the community service program. When third party contractors are used, the Authority will strive to ensure the contractor doesn’t have a financial interest in where participants are assigned.

The Authority will ensure that all community service programs are accessible for persons with disabilities, and determine as well as possible, the work being performed is not hazardous.

**Documentation:** Reasonable documentation must verify the community service, and must be placed in the resident's file at time of reexamination.

**Noncompliance:** The Authority will determine if non-exempt residents are in compliance. If a family is found to be non-compliant, the non-compliant adult and the head of household must sign an agreement to make up the hours within the next three to 12-month period.

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.
  1. Local police provide up to date information regarding criminal activity on or near the public housing developments
  2. Police cooperation with SCHA in hearings involving drugs and other criminal activities
  3. Police cooperate with state and federal (OIG) for local drug and fraud cases involving housing participants
  4. Police inform SCHA of registered sex offenders
  5. SCHA has installed exterior and interior camera security system in elderly and family complex
9. **Pets.** SCHA's policies and requirements pertaining to the ownership of pets in public housing, **have not been revised since the Authority's prior submission.**
10. **Civil Rights Certification.** SCHA certifies that it will carry out the public housing program of the agency in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, Section 504 of the Rehabilitation Act of 1973, and Title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing. SCHA has adopted policies that promote non-discrimination, as outlined in Sections of the Admission and Occupancy Policy and of the Housing Choice Administrative Plan. In accordance to Civil Rights Laws, SCHA prohibits discrimination on the basis of race, color, sex, age, religion, national origin, disability, handicap, and family status. SCHA policies ensure consistent application of program rules, services, and procedures for all applicants and participants. Further, SCHA ensures that persons with disabilities are provided reasonable accommodation, as described by Section 504 of the Rehabilitation Act of 1973, Fair Housing Amendments Act of 1988, and Title II of the Americans with Disabilities Act of 1990.

**Analysis of Impediments to Fair Housing Choice.**

SCHA reviews its policies, at least annually, to identify any impediments to fair housing choice within the programs administered. When it is found that impediments

exist, the SCHA revises its policies, redesigns the applicable procedures, and provides training to the staff to address and manage areas of concern or potential exposure.

### **Affirmatively Further Fair Housing.**

SCHA is part of a community partnership which works with the County and advocacy organizations affirmatively to further fair housing by providing training and guidance within the locality. Information is disseminated county-wide utilizing local newspapers, radio, television, and other local media. To support the County's commitment to non-discrimination and equal opportunity in housing, the SCHA makes special efforts to assure that housing programs assisted with federal or local funds are made widely known throughout the community.

SCHA affirmatively markets to races and ethnicities shown to have disproportionate housing needs through local service providers. To provide applicants with an alternative form of communication, the SCHA procures services from a qualified sign language interpreter, as well as have written materials explained orally by staff either in person or by telephone.

SCHA has reviewed the County's Consolidated Plan and certifies that the Annual Plan is consistent with the County's Consolidated Plan.

11. **Fiscal Year Audit.** In the opinion of Francis J. McConnell, CPA, who conducted an independent audit of the Housing Authority as of March 31, 2012, "In my opinion, Schuylkill County Housing Authority complied, in all material respects, with the compliance requirements...that could have a direct and material effect on each of its major federal programs for the year ended March 31, 2012."
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

The Schuylkill County Housing Authority has adopted an asset management philosophy that seeks to maximize the value of its assets, and guides all decisions for its financial well-being as well as its clients. The Housing Authority's transformation to asset management, include the following:

### **ASSET MANAGEMENT PLAN**

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1. **Financial Assessment:** Prepare financial assessments of each property based on actual income, repair costs, administrative expenses and utility costs. Monitor income, expenses, and cash flows to track trends in financial performance.
2. **Historical Operating Analysis:** Maintain and analyze the historical operating results for each of the Authority's asset management properties. Compare the financial performance of each asset management property with indicators from comparable properties in the affordable housing industry. Analyze trends if the property is losing money and develop solutions.

3. **Physical Needs Assessment:** Utilize the recently completed comprehensive Physical Needs Assessment to cure deferred maintenance and physical deterioration. The assessment addresses remaining useful short life components. The PNA identifies functional obsolescence, both curable and incurable and provides information that will assist in the scheduling of future capital expenditures and replacements.
4. **Viability Analysis:** SCHA performed a portfolio evaluation to determine the most appropriate use of each site. Portfolio evaluation is a major asset management planning tool. The Viability Analysis provides SCHA with key benefits to: integrate the physical and financial characteristics of the housing with market conditions to develop highest and best use; evaluate alternative treatments and strategies in light of available resources; and prioritize future actions and clarify strategies going forward.
5. **Energy Performance Audit:** Utilize the recently completed Energy Performance Audit of each of SCHA's public housing developments to reduce utility costs by implement energy cost savings recommendations. Areas addressed in the energy performance audit findings include: reduce operating costs by updating and upgrading the HVAC systems, installing additional insulation and weather stripping where indicated, and installing energy efficient windows, replacing inefficient and wasteful shower heads, faucets, toilets, etc. and revamping lighting and electrical systems with energy/cost saving alternatives.

### PERFORMANCE EXCELLENCE

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1. **Public Housing:** Maintain "High Performer" status under HUD's Public Housing Assessment System (PHAS). Ensure that SCHA properties continue to be managed to the highest possible standards, including thorough and uniform applicant eligibility determination, fair lease enforcement, regular preventative maintenance, prompt responses to maintenance work orders, full occupancy and timely turnover of vacant units, timely and accurate reporting of financial data, and all other components of quality property management and maintenance. Continue implementing "project-based accounting" as required by the new Public Housing Operating Fund rule. Continue to advocate for full funding and program reform.
2. **Section 8 Housing Choice Vouchers:** Maintain "High Performer" status under HUD's Section 8 Management Assessment Program (SEMAP). Maintain high utilization of vouchers and budget without exceeding authorized limits. Continue to advocate for full voucher funding and program reform.
3. **Capital Improvements:** Continue renovating public housing properties and making capital improvements which promote fire safety and life safety as well as preserve the asset. Maintain high quality and timely design, bidding and construction. Continue to actively involve residents, staff and the community in planning capital improvements.

## EMPLOYEE AND ORGANIZATIONAL DEVELOPMENT

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1. **Equal Opportunity and Diversity:** Promote and enforce equal employment opportunity and affirmative action. Attract and retain a diverse and qualified work force. Manage workplace diversity by fostering respect for and valuing of diversity.
2. **Employee and Organizational Development:** Promote education, growth and advancement of employees through career planning, training opportunities and other resources. Continue internal rethinking strategies to promote organizational development, continuous improvement, and appropriate responses to budget challenges and program changes.
3. **Safety and Security:** Maintain safety and security at all SCHA housing and work sites for residents, staff and the public. Promote non-violence in all aspects of the SCHA's work. Continue making physical improvements to properties that enhance safety and security.

## RESPECTED AND RESPONSIVE COMMUNITY PARTNER

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1. **Fair Housing:** Work cooperatively with community representatives and other units of government to ensure non-discrimination in SCHA programs and to affirmatively further fair housing objectives. Promote the value of diversity and respect for differences.
  2. **Linking Residents to Community Services:** Promote links to community services through SCHA Community Centers and at other sites to meet the changing needs of SCHA residents, focusing on programs and services that enrich residents' lives, promote independence, increase community involvement and support successful tenancies in public housing. Continue and promote transitional housing and other assisted living programs.
  3. **Housing Preservation and Development:** Work with other agencies and organizations to preserve, develop, and/or manage affordable housing and other cooperative and entrepreneurial efforts. Seek out opportunities to produce additional affordable housing with federal resources (such as Replacement Vouchers) and/or other methods.
  4. **Leadership Responsibilities:** Continue to advocate for full funding and program reform, including additional reform to HUD's "Asset Management" guidance. Continue to provide assistance to other housing authorities and organizations seeking organizational development, business systems, or program support.
- 13. Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to ensure victim safety in assisted families. SCHA provides applicants the VAWA brochure at pre-application and tenants at each annual re-certification in addition to the Lease Addendum that the tenant and landlord are required to sign ( OMB Approval No. 2502-0204). In addition, SCHA works in cooperation with the following agencies:

## **Schuylkill Women in Crisis**

The Schuylkill Women in Crisis a private, non-profit organization to provide services to victims of domestic violence in Schuylkill County.

In addition to assisting victims, this agency is working to confront the societal issues that contribute to this problem. The agency provides services to all individuals regardless of gender, especially women and children who are most vulnerable to this problem. These services include, but are not limited to:

- Counseling for individuals and groups related to domestic violence for adults and children.
- Emergency shelter to victims of domestic violence and their children.
- Transitional housing to assist women in becoming socially and economically independent.
- Legal representations, systems advocacy, and courtroom accompaniment for victims of domestic violence.
- 24-hour crisis hotline.
- Community education and prevention presentations.
- Systems advocacy with local policy makers to improve system response to victims.

## **Schuylkill County Children and Youth Services**

SCHA works with the County Children and Youth Services Office to provide the necessary services to help preserve the family unit. The agency will provide temporary, alternative living arrangements for children when necessary, while providing services directed at reunification of troubled families. The Agency's primary concern while providing all services is the safety and well being of the child.

The Children and Youth Agency provides service to children and families. The Agency offers both In-Home and Placement services.

IN-HOME SERVICES: Service Planning, General Protective Services (Child Abuse), Day Treatment (Operation Plus Program), Homemaker Services, (Individual, Family and Group), Therapeutic Intervention for Families (TIF) and Independent Living Services.

PLACEMENT SERVICES: Foster Care (Specialized and Traditional), Group Home Services (Cloud Home), Contracted Services (Foster, Group and Facility), Adoption Services, Emergency Shelter Services.

## **Victim-Witness Services, Schuylkill County District Attorney's Office**

The Housing Authority also works in partnership with Schuylkill County District Attorney's Office, Victim-Witness Services that offers information on Victims' Rights and Services in the Criminal Justice System and in the Community.

The Schuylkill County Victim/Witness Assistance Program provides services to all victims and witnesses of crime who request such, in accordance and compliance with the Pennsylvania Crime Victims "Bill of Rights," Act 111 of 1998 and the Standards set forth by the Pennsylvania Commission on Crime and Delinquency. The Victim/Witness Assistance Program's goal is to treat all victims and witnesses with respect and dignity and to encourage and support their participation in the criminal justice system to the level and extent they choose however possible.

### EXCEPTION TO LEASE TERMINATION RELATING TO VIOLENCE AGAINST WOMEN:

In accordance with the Violence Against Women Act of 2005, criminal activity directly relating to domestic violence, dating violence, or stalking, engaged in by a member of a tenant's household or any guest or other person under the tenant's control, shall not be a cause for termination of the tenancy or occupancy rights, if the tenant or immediate member of the tenant's family is a victim of that domestic violence, dating violence, or stalking.

SCHA may bifurcate a lease in order to evict, remove, or terminate assistance to any individual who is tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others, without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also a tenant or lawful occupant.

Nothing in this section may be construed to limit the authority of SCHA, when notified, to honor court orders addressing rights of access to or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members in cases where a family breaks up.

Nor does this section limit any otherwise available authority of SCHA:

- to evict a tenant for any violation of a lease not premised on the act or acts of violence in question against the tenant or member of the tenant's household, provided that SCHA does not subject an individual who is or has been a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate; or
- to limit the authority of a public housing agency to terminate the tenancy of any tenant if SCHA can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if that tenant's tenancy is not terminated; and
- nothing in this section shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than this section for victims of domestic violence, dating violence, or stalking.

**CERTIFICATION:** SCHA may request that an individual certify via a HUD approved certification form, the individual is a victim of domestic violence, dating violence, or stalking, and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse and meet the requirements set forth in the aforementioned paragraphs. Such

certification shall include the name of the perpetrator. The individual shall provide such certification within 14 business days after SCHA requests such certification.

**FAILURE TO PROVIDE CERTIFICATION.**—If the individual does not provide the certification within 14 business days after SCHA has requested such certification in writing, nothing in this subsection may be construed to limit the authority of SCHA to evict any tenant or lawful occupant that commits violations of a lease. The Housing Authority may extend the 14-day deadline at its discretion. SCHA may provide, at its discretion, benefits to an individual based solely on the individual’s statement or other corroborating evidence.

**CONTENTS OF THE CERTIFICATION:** An individual may satisfy the certification requirement by:

1. providing the requesting public housing agency with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking, or the effects of the abuse, in which the professional attests under penalty of perjury (28 U.S.C. 1746) to the professional’s belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation; and
2. producing a Federal, State, or local police or court record.

**CONFIDENTIALITY:** All information provided to SCHA pursuant to paragraph (1), including the fact that an individual is a victim of domestic violence, dating violence, or stalking, shall be retained in confidence by SCHA, and shall neither be entered into any shared database nor provided to any related entity, except to the extent that disclosure is—

1. requested or consented to by the individual in writing;
2. required for use in an eviction proceeding; or
3. otherwise required by applicable law.

**NOTIFICATION.**—SCHA will provide notice to tenants assisted under section 6 of the United States Housing Act of 1937 of their rights under the Violence Against Women’s Act, including their right to confidentiality and the limits thereof.

### **14. EIV Security Policy**

#### **Introduction:**

On December 29, 2009, HUD issued the final rule entitled *Refinement of Income and Rent Determination Requirements in Public and Assisted Housing Programs: Implementation of the Enterprise Income Verification (EIV) System-Amendments*, which requires Public Housing Authorities (PHAs) to use the EIV system in its entirety to verify tenant employment and income information during mandatory reexaminations of family composition and income; and reduce administrative and subsidy payment errors in accordance with 24 CFR §5.236 and administrative guidance issued by HUD.

Using EIV as an upfront income verification (UIV) technique will be valuable in validating tenant-reported income during interim and annual reexaminations of family income; as well as streamlining the income verification process. This will result in less administrative burden in complying with third party verification requirements. Additionally, EIV will help to identify and cure inaccuracies in housing subsidy

determinations, which will benefit PHAs, tenants, and taxpayers by ensuring that the level of benefits provided on behalf of families is proper and will prevent fraud and abuse within Public and Indian Housing (PIH) rental assistance programs.

## **Purpose:**

The purpose of this policy is to provide instruction and information to the Schuylkill County Housing Authority's (SCHA) staff, auditors, consultants, contractors and tenants on the acceptable use, disposition and storage of data obtained through EIV (Enterprise Income Verification System).

The purpose of EIV is to assist the HUD, and the SCHA staff, auditors, consultants, contractors and tenants in streamlining the income verification process and to help in minimizing the need for 3<sup>rd</sup> party verification. EIV allows the user to identify:

- a. tenants whose reported personal identifiers do not match the SSA database;
- b. tenants who need to disclose a SSN;
- c. tenants whose alternate identification number (Alt ID) needs to be replaced with a SSN;
- d. tenants who may not have reported complete and accurate income information;
- e. tenants who have started a new job;
- f. tenants who may be receiving duplicate rental assistance;
- g. tenants who are deceased and possibly continuing to receive rental assistance;
- h. former tenants of PIH rental assistance programs who voluntarily or involuntarily left the program and have a reportable adverse status and/or owe money to a PHA or Section 8 landlord.

In addition, information in EIV can be used to provide more comprehensive oversight to compliance policies and their implementation. The data provided via EIV system will be protected to ensure that it is only used for official purposes and not disclosed in any way that would violate the privacy of the individuals represented in the system data. Privacy of data and data security for computer systems are covered by a variety of federal laws and regulations, government bulletins, and other guiding documents.

## **Safeguarding EIV Data**

The information processed by any EIV system can include wage and income data about private individuals, as well as identifying information such as Social Security Number, Address, and Employment information. This policy describes methods to comply with HUD's required EIV safeguards.

### **Technical safeguards**

1. Reduce the risk of a security violation related to the EIV system's software, network, or applications.
2. Identify and authenticate all users seeking to use the EIV system data.
3. Deter and detect attempts to access the system without authorization.
4. Monitor the user activity on the EIV system.

## **Administrative safeguards**

1. Ensure that access rights, roles, and responsibilities are appropriately and adequately assigned.
2. Protect copies of sensitive data and destroy system-related records to prevent reconstruction of the contents.
3. Ensure authorized release of tenant information consent forms are included in all family files, before accessing and using data.
4. Maintain, communicate, and enforce standard operating procedures related to securing EIV data.
5. Train staff on security measures and awareness, preventing the unauthorized accessibility and use of data.

## **Physical safeguards**

1. Establish barriers between unauthorized persons and documents or computer media containing private data.
2. Clearly identify restricted areas by use of prominently posted signs or other indicators.
3. Develop a list of authorized users who can access restricted areas-e.g., contractors, maintenance, and janitorial/cleaning staff.
4. Prevent undetected entry into protected areas and/or documents.
5. Notify Coordinators/Security Administrators of system breaches and penetration by unauthorized users.

**Le-Ann Techentine** will have the responsibility of ensuring compliance with the security policies and procedures outlined in this document. These responsibilities include:

- Maintaining and enforcing the security procedures
- Keeping records and monitoring security issues
- Communicating security information and requirements to appropriate personnel including coordinating and conducting security awareness training sessions
- Conducting review of all User ID's issued to determine if the users still have a valid need to access EIV data and taking necessary steps to ensure that access rights are revoked or modified as appropriate
- Reporting any evidence of unauthorized access or known security breaches to **Le-Ann Techentine** and taking immediate action to address the impact of the breach including but not limited to prompt notification to **Le-Ann Techentine**. **Le-Ann Techentine** will escalate the incident by reporting to appropriate parties including the Executive Director or HUD.

## ***Limiting Access to EIV Data***

User accounts for the EIV system will be provided on a need-to-know basis, with appropriate approval and authorization.

## **Security Awareness Training**

Security awareness training is a crucial aspect of ensuring the security of the EIV System and data. Users and potential users will be made aware of the importance of respecting the privacy of data,

following established procedures to maintain privacy and security, and notifying management in the event of a security or privacy violation. Before granting access to the EIV information, each person must be trained in EIV Security policies and procedures. Additionally, all employees having access to EIV Data will be briefed at least annually on the security policy and procedures that require their awareness and compliance. Information about user access and training will be maintained in the property EIV file.

## **EIV System Coordinators**

Before accessing EIV, the Secure Systems Coordinators will obtain a letter from each property Housing Authority indicating that the Housing Authority gives permission for the Secure Systems Coordinator to act as the EIV coordinator. Once that permission is obtained, the Coordinator will review the EIV training material provided by HUD and complete the appropriate Security Awareness Training Questionnaire and review the EIV Security Policy and the EIV User Policy. Upon completion of these three tasks, the EIV Coordinator will submit, to HUD, the appropriate Coordinator Access Authorization Forms. Upon receipt of HUD approval, the EIV Coordinator will complete the EIV Coordinator setup process.

## **EIV Users**

Before requesting EIV User access, appropriate staff will review the EIV training material provided by HUD and complete the appropriate Security Awareness Training Questionnaire and review the EIV Security Policy and the EIV User Policy. Upon completion of these three tasks, the EIV User will submit, to the EIV Coordinator, the appropriate User Access Authorization Form. Upon receipt the EIV Coordinator will review the completed Security Awareness Training Questionnaire for accuracy and recommend further training if necessary. If the EIV Coordinator feels that the EIV User candidate does not understand the security requirements, the EIV Coordinator will not continue with the EIV setup for that user.

*Note: Under no circumstances will the EIV Coordinator process the User Access Authorization Form unless the executed Security Awareness Training Questionnaire, the signed EIV Security Policy and the signed EIV User Policy are attached.*

Once the user request information is satisfactorily completed, the EIV Coordinator will complete the appropriate steps to provide EIV access to the user. In accordance with HUD requirements, the user's need for access will be reviewed on a quarterly basis.

At least once a year, staff with EIV access will be required to:

- Participate in training that includes a review of the EIV security policy and
- Complete the EIV Security Awareness Training Questionnaire

**Schuylkill County Housing Authority** will restrict access to EIV data only to persons whose duties or responsibilities require access. EIV Coordinators will be required to request re-certification on an annual basis. EIV Coordinators are authorized to provide access only to those individuals directly involved in the resident certification process and/or compliance monitoring. EIV Coordinators will carefully review initial and quarterly requests for access and certify only those users who will need access within the next 90 days.

**Schuylkill County Housing Authority** will maintain a record of users who have approved access to EIV data. Further, **Schuylkill County Housing Authority** will revoke (Expire) the access rights of those users who no longer require such access or modify the access rights if a change in the user's duties or responsibilities indicates a change in the current level of privilege.

**Schuylkill County Housing Authority** will assure that a copy of Form-9886 has been signed by each member of the household age 18 years or older. The 9886 will be presented at move-in and/or initial certification. If a household member turns 18 in the middle of a certification cycle, that household member should sign Form 9886 **within 7 days of turning 18**. (See HUD 9886 Fact Sheet for exceptions due to extenuating circumstances) All HUD-9886's will be placed in a resident file and will be updated on an annual basis for each adult household member.

The HUD 9886 Fact Sheet will be provided to all adult household members required to sign the form. By signing this HUD Form 9886, the applicant/resident authorizes HUD and/or Schuylkill County Housing Authority to obtain and verify income and unemployment compensation information from various sources including. But not limited to the IRS, the Department of Health and Human Services and the Social Security Administration, current and former employers and state agencies.

### **User Names, Passwords and Password Changes**

Many systems require frequent changes in passwords. Secure Systems/ EIV passwords will be changed in accordance with HUD Secure Systems requirements. Users will not share user names or passwords with any other employee or with anyone outside the organization. EIV access granted to an employee or authorized user will be revoked when access is no longer required or prior to termination of that employee or user to ensure data safety. Termination of EIV Access and un-assigning property access through "Property Assignment Maintenance" is required.

The EIV file will be documented to indicate when user access was terminated by the EIV Coordinator. Documentation of termination will be maintained in the property EIV file and in the employee's personnel file.

### **Computer System Security Requirements**

All computer systems and computers will have password restricted access. Schuylkill County Housing Authority will also use Antivirus software to limit data destruction or unintended transmission via virus, worms, Trojan horses or other malicious means. Remote access by other computers other than those specifically authorized is prohibited.

Authorized users of EIV data are directed to avoid leaving EIV data displayed on their computer screens where unauthorized users may view it. A computer will not be left unattended while the user is "logged in" to Secure Systems. If an authorized user is viewing EIV data and an unauthorized user approaches the work area, the authorized user will lessen the chance of inadvertent disclosure of EIV data by minimizing or closing out the screen on which the EIV data is being displayed.

### **Physical Security Requirements**

**Schuylkill County Housing Authority** may use a combination of methods to provide physical security for resident file records. The EIV data may be maintained **in a locked metal file cabinet within a locked file room.**

**Restricted Areas:** **Schuylkill County Housing Authority** will have the areas clearly identified by the use of prominently posted signs or other indicators. For example “Employees Only”. This sign will be posted on the door to the locked file room. The restricted areas will be separated from non-restricted areas by physical barriers that control access and/or will have limited points of entry.

Since the EIV data in resident files is maintained in the locked file room, **Le-Ann Techentine** will establish and maintain a key control log to track the inventory of keys available, the number of keys issued and to whom the keys are issued. All employees and contractors who have been issued keys to the file room will complete a form acknowledging the receipt of the key. See Appendix A.

Users will retrieve computer printouts as soon as they are generated so that EIV data is not left unattended in printers or fax machines where unauthorized users may access them. EIV data will be handled in such a manner that it does not become misplaced or available to unauthorized personnel.

### **Use and Handling of EIV Data**

EIV Data serves two purposes:

1. Verification of specific income information provided by the resident
2. Monitoring resident and staff compliance

Use of the data is described in the EIV User Policies. This policy is designed to describe the security protocol used to protect EIV data.

### **EIV Printouts**

Reports available through EIV will not be printed to a shared printer unless the EIV user plans to immediately retrieve the data. It is preferred that all EIV printouts are sent to the user’s personal printer. EIV printouts will be stored in the resident file in a separate manila envelope. The Documentation of EIV Data will be included in the resident file. This entire file will be made available to authorized people including appropriate staff or contractors (i.e. Service Bureaus, contractors performing file reviews, etc.) for Schuylkill County Housing Authority, HUD staff, Contract Administration staff and the Office of the Inspector General.

If other people are tasked with reviewing the file, such as financial auditors complying with the Consolidated Audit Guide (Handbook IG 2000.04), the EIV printout in the manila folder including the EIV printout will be removed from the file and the Documentation of EIV Data will remain in the file to provide appropriate information required by the file audit.

If a resident requests a copy of their own EIV printout, a copy will be produced. The staff person providing the copy will note that the printout is a copy provided to the resident upon request. This note will include the following:

- This is not an original, this is a copy provided to: \_\_\_\_\_
- On \_\_\_\_\_, 20\_\_
- By \_\_\_\_\_ (name will be printed)
- Initials \_\_\_\_\_

The appropriate staff will make a note in the file any time a copy of the EIV data is obtained by authorized persons and taken off site. This includes copies provided to the applicant/resident, other internal staff, HUD, SCHA or OIG staff. Under no circumstances will the EIV information be provided to anyone other than those noted in this paragraph.

## Other Language

Since site staff may not have access to the EIV database, Le-Ann Techentine is responsible for providing income verification and discrepancy information to the site. Information must be sent in such a way as to ensure the security of the data. Preferably, information will be sent electronically via email or via electronic fax. The email will be opened by the appropriate staff person, the information will be printed and the email will be immediately deleted from the recipient's email box.

If necessary, printouts will be produced by Le-Ann Techentine and sent express mail. In this case the recipient will be required to sign for the package to ensure that the information is delivered and there is no risk of disclosure to unauthorized persons.

Immediately upon receipt, the printouts will be filed and secured as appropriate.

## Electronic Information from EIV

Under no circumstances should anyone save or scan EIV information to retain an electronic copy. In order to ensure compliance with HUD's security requirements, EIV information should only be produced in hard copy and maintained in accordance with the recordkeeping requirements outlined by HUD.

## Alternative

In some cases, there may be a need to send or store EIV information electronically. If there is need to store the information on a hard drive, a specific folder will be created. The folder will be password protected to prevent unauthorized access. Information in the folder will be purged periodically to comply with HUD's EIV file retention policies.

If EIV information is copied to portable media (CD, DVD, tape, etc.) that portable media will be destroyed appropriately upon completion of the intended use.

## Reporting Improper Disclosures

Recognition, reporting, and disciplinary action in response to security violations are crucial to successfully maintaining the security and privacy of the EIV system. These security violations may

include the disclosure of private data as well as attempts to access unauthorized data and sharing of User ID's and passwords. Upon the discovery of a possible improper disclosure of EIV information or other security violation by an employee or any other person, the individual making the observation or receiving the information will contact the EIV Coordinator and **Le-Ann Techentine** who will document all improper disclosures in writing providing details including who was involved, what was disclosed, how the disclosure occurred, and where and when it occurred. The EIV Coordinator will immediately review the report of improper disclosure and, if appropriate, the EIV Coordinator will remove EIV access.

**Improper disclosure of any information is grounds for immediate termination.** All employees should carefully review the EIV Access Authorization Form to understand the penalties for improper disclosure of EIV data.

### ***Disposal of EIV Information***

EIV data will be destroyed in a timely manner based on the information provided in HUD's published EIV training materials, HUD notices or as prescribed by Schuylkill County Housing Authority's policy and procedures. Schuylkill County Housing Authority's policy and procedures will not allow data retention that is longer than the time allowed in the published HUD materials.

As necessary, **all EIV originals will be shredded**. Information about use of EIV information and how printouts were destroyed will be maintained in the resident file.

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I have read and understand the EIV Security Requirements. I agree to abide by this policy and to report any improper disclosure of information.

\_\_\_\_\_  
Name (please print)

\_\_\_\_\_  
Signature

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date

CC: Personnel File  
Property EIV File

*Note regarding properties with Tax Credit or 515 "layering": Neither the EIV Printout nor the Documentation of EIV Data will be provided to any Tax Credit or 515 Auditor since EIV may not be used to verify information for residents participating in those programs. Alternative verification documents must be used to verify income for Tax Credits or 515 programs. For Social Security and Medicare information, the resident file must include an SSA Benefit/Award letter. For employment income and unemployment income, the resident file should contain verification documents as provided in HUD Handbook 4350.3 Revision 1, Appendix 3.*

## 9.0 Housing Needs

### Housing Needs of Families in the Jurisdiction/s Served by SCHA

Based upon the information contained in the American Community Survey of 2011 applicable to the jurisdiction, and/or other data available to SCHA, provide a statement of the housing needs in the jurisdiction by completing the following table.

Subject	Schuylkill County, Pennsylvania		
	Occupied housing units	Owner-occupied housing units	Renter-occupied housing units
	Estimate	Estimate	Estimate
<b>Occupied housing units</b>	60,018	46,013	14,005
<b>HOUSEHOLD INCOME IN THE PAST 12 MONTHS (IN 2011 INFLATION-ADJUSTED DOLLARS)</b>			
Less than \$5,000	1.9%	1.4%	3.5%
\$5,000 to \$9,999	3.0%	2.0%	6.0%
\$10,000 to \$14,999	6.9%	5.0%	12.9%
\$15,000 to \$19,999	7.0%	5.4%	12.0%
\$20,000 to \$24,999	8.2%	7.0%	12.3%
\$25,000 to \$34,999	11.5%	9.9%	17.0%
\$35,000 to \$49,999	13.6%	14.0%	12.2%
\$50,000 to \$74,999	22.0%	23.6%	16.6%
\$75,000 to \$99,999	13.4%	16.0%	4.7%
\$100,000 to \$149,999	8.9%	11.3%	1.0%
\$150,000 or more	3.7%	4.3%	1.7%
Median household income (dollars)	47,437	55,234	26,522
<b>MONTHLY HOUSING COSTS</b>			
Less than \$100	0.1%	0.1%	0.2%
\$100 to \$199	1.6%	1.6%	1.3%
\$200 to \$299	5.6%	5.1%	6.9%
\$300 to \$399	10.3%	12.4%	3.5%
\$400 to \$499	11.6%	11.2%	12.8%
\$500 to \$599	12.4%	11.5%	15.4%
\$600 to \$699	11.7%	9.0%	20.5%
\$700 to \$799	8.6%	7.5%	12.3%
\$800 to \$899	6.1%	5.8%	7.1%
\$900 to \$999	3.7%	4.0%	2.6%
\$1,000 to \$1,499	14.7%	18.1%	3.3%
\$1,500 to \$1,999	6.4%	8.3%	0.3%
\$2,000 or more	4.1%	5.4%	0.0%
No cash rent	3.2%	(X)	13.7%
Median (dollars)	658	689	613
<b>MONTHLY HOUSING COSTS AS A PERCENTAGE OF HOUSEHOLD INCOME IN THE PAST 12 MONTHS</b>			

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<b>Less than \$20,000</b>	16.7%	13.2%	28.0%
<b>Less than 20 percent</b>	1.1%	1.3%	0.8%
<b>20 to 29 percent</b>	3.7%	2.9%	6.2%
<b>30 percent or more</b>	11.9%	9.1%	21.0%
<b>\$20,000 to \$34,999</b>	18.9%	16.9%	25.8%
<b>Less than 20 percent</b>	4.7%	5.4%	2.2%
<b>20 to 29 percent</b>	6.7%	4.2%	14.9%
<b>30 percent or more</b>	7.6%	7.2%	8.7%
<b>\$35,000 to \$49,999</b>	13.1%	14.0%	10.4%
<b>Less than 20 percent</b>	7.1%	7.6%	5.3%
<b>20 to 29 percent</b>	4.0%	3.8%	4.7%
<b>30 percent or more</b>	2.1%	2.6%	0.4%
<b>\$50,000 to \$74,999</b>	21.3%	23.6%	13.8%
<b>Less than 20 percent</b>	14.9%	15.5%	13.0%
<b>20 to 29 percent</b>	3.9%	4.9%	0.8%
<b>30 percent or more</b>	2.5%	3.3%	0.0%
<b>\$75,000 or more</b>	25.7%	31.6%	6.0%
<b>Less than 20 percent</b>	19.8%	24.0%	6.0%
<b>20 to 29 percent</b>	4.9%	6.4%	0.0%
<b>30 percent or more</b>	1.0%	1.3%	0.0%
<b>Zero or negative income</b>	1.0%	0.6%	2.3%
<b>No cash rent</b>	3.2%	(X)	13.7%
<b>PERCENT IMPUTED</b>			
<b>Tenure</b>	0.7%	(X)	(X)
<b>Monthly housing costs</b>	(X)	32.0%	(X)
<b>Gross rent</b>	(X)	(X)	17.2%

Sources for information: U.S. Census Bureau, 2011, American Community Survey Data

### Housing Needs of Families on the Public Housing Waiting Lists

The following table represents the characteristics of the families on the Public Housing Waiting List for Schuylkill County:

<b>Housing Needs of Families on the Waiting List Public Housing</b>			
	<b># of families</b>	<b>% of total families</b>	<b>Annual Turnover</b>
Waiting list total	416		115
Extremely low income <=30% AMI	328	79%	
Very low income (>30% but <=50% AMI)	68	16%	
Low income (>50% but <80% AMI)	20	5%	
Families with children	144	35%	
Elderly families	49	12%	
Families with Disabilities	114	27%	
Nondisabled, nonelderly, no children	145	35%	
White – Non-Hispanic	333	80%	
White – Hispanic	45	11%	
Black – Non-Hispanic	33	8%	

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Black – Hispanic	5	1%	
Characteristics by Bedroom Size (Public Housing Only)			
0 BR	32	8%	36
1BR	208	50%	22
2 BR	109	26%	19
3 BR	46	11%	36
4 BR	19	5%	2
5 BR	2	<1%	0
5+ BR	0	0	0

### Housing Needs of Families on the Section 8 Tenant- Based Assistance Waiting Lists

Housing Needs of Families on the Waiting List Section 8 tenant-based assistance			
	# of families	% of total families	Annual Turnover
Waiting list total	481		142
Extremely low income <=30% AMI	312	65%	
Very low income (>30% but <=50% AMI)	160	33%	
Low income (>50% but <80% AMI)	9	2%	
Families with children	267	56%	
Elderly families	29	6%	
Families with Disabilities	88	18%	
Nondisabled, nonelderly, no children	127	26%	
White – Non-Hispanic	394	82%	
White - Hispanic	27	6%	
Black – Non-Hispanic	54	11%	
Black - Hispanic	5	1%	
Multi-racial – Non- Hispanic	1	<1%	
Multi-racial – Hispanic			

## 9.1 Strategies to Meet Housing Needs

SCHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year is described below:

**To maximize the number of affordable housing units available to SCHA within its current resources, SCHA plans to:**

- Employ effective maintenance and management policies to minimize the number of affordable housing units off-line.
- Reduce turnover time for vacated affordable housing units.
- Reduce time to renovate affordable housing units.
- Seek other affordable housing units through mixed finance development.
- Seek affordable housing units utilizing Housing Choice Voucher resources.
- Maintain or increase Housing Choice Voucher lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction.
- Undertake measures to ensure access to affordable housing among families assisted by the SCHA, regardless of unit size required.
- Maintain or increase Housing Choice Voucher lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration.
- Maintain or increase Housing Choice Voucher lease-up rates by effectively screening Housing Choice Voucher applicants to increase owner acceptance of program

**To increase the number of affordable housing units, the SCHA plans to:**

- Apply for additional Housing Choice Voucher units should they become available.
- Leverage affordable housing resources in the community through the creation of mixed- finance housing.
- Pursue housing resources other than public housing or Housing Choice Voucher tenant-based assistance.
- Explore the use of project-based voucher program to help ensure the viability of mixed-finance projects and other developments.

**To target available assistance to families at or below 30% of AMI, the SCHA plans to:**

- Employ admissions preferences aimed at families with economic hardships.
- Adopt rent policies to support and encourage work.

**To target available assistance to families at or below 50% of AMI, the SCHA plans to:**

- Employ admissions preferences aimed at families who are working.
- Adopt rent policies to support and encourage work.

**To target available assistance to the elderly, the SCHA plans to:**

- Work with local agencies that seek transitional housing for the elderly.

- Apply for special-purpose vouchers targeted to the elderly, should they become available.

**To target available assistance to families with disabilities, the SCHA plans to:**

- Carry out the modifications needed in affordable housing based on the Section 504 Needs
- Assessment for Affordable Housing.
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available.
- Affirmatively market to local non-profit agencies that assist families with disabilities.
- Update SCHA's ADA Needs Assessment and Transition Plan

**To conduct activities to affirmatively further fair housing, the SCHA plans to:**

- Counsel Housing Choice Voucher tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units.
- Market the Housing Choice Voucher program to owners outside of areas of poverty/minority concentrations.

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## 10.0 Additional Information.

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### (a) Progress in Meeting Mission and Goals

Goals and Objectives. The following summarizes SCHA's quantifiable goals and objectives that will enable SCHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Included in this report on the progress SCHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

#### **Goals**

##### **SCHA Goal: Expand the supply of assisted housing**

#### **Objectives:**

- Apply for additional rental vouchers:
- Reduce public housing vacancies:
- Leverage private or other public funds to create additional housing opportunities:

*The Authority has made major improvements to the Schuylkill Haven High Rise to meet the needs of current residents and make the units more marketable, including the installation of energy efficient lighting in 110 units, hallways, stairways, common areas and exterior lighting. In addition, the building envelope in the community room and office were replaced with new insulated walls, thermo break window frames and insulated glass. A new energy efficient gas fired hot water boiler was installed at the Schuylkill Haven High Rise for 110 units.*

*The Authority has also worked closely with agencies providing services to those with disabilities which have resulted in expanded services to residents.*

*The Housing Authority established a nonprofit corporation to expand affordable housing options in the County. During the past several years, the nonprofit has received grants in the amount of \$50,000 from the Schuylkill County Affordable Housing Trust Fund (Act 137) combined with a match of \$120,000 from the Authority, the non-profit corporation was able to renovate three houses that are now being leased to Housing Choice Voucher families who are participating in the Family Self-Sufficiency Program. The goal is for these families to become homeowners. The corporation hopes to continue the program with the assistance of additional Act 137 funding, other grants, and by utilizing the sales proceeds when the houses are sold.*

The Authority applied for additional public funds to create additional housing opportunities. An Act 137 grant in the amount of \$50,000 was awarded and allocated for modernization work at the Minersville High Rise. The Authority also received another Act 137 grant in the amount of \$41,744 which was used in combination with capital funds at the Schuylkill Haven High Rise for the installation of energy efficient improvements to the units and building as noted above. Most recently the Authority received an Act 137 grant in the amount of \$50,000 which was used in combination with capital funds at the Minersville High Rise for elevator modernization

##### **SCHA Goal: Improve the quality of assisted housing**

**Objectives:**

- Increase customer satisfaction:
- Renovate or modernize public housing units:
- Improve energy efficiency:

In addition to the improvements noted above, the Housing Authority installed steel doors at the St. Clair family development, installed new energy efficient lighting and new insulated windows at the Schuylkill Haven family development.

Elevator upgrades have been completed at the Shenandoah High Rise using energy efficient equipment. Modern energy efficient oil fired boilers have been installed along with other energy efficient equipment for the heating and hot water systems. Upgrades to 77 bathrooms using energy efficient fixtures have also been completed.

Elevator modernization work has been completed at the Ashland High Rise using energy efficient equipment. An Act 137 grant in the amount of \$50,000 was awarded and allocated for this work.

New energy efficient lights have been installed at the Coaldale Development both in all 48 units and the exterior lighting.

Other modernization work includes:

- Energy efficient lighting at the Cass-Minersville Family Development,
- New kitchens and bathrooms at the Schuylkill Haven Family Development,
- Energy efficient lighting at the Schuylkill Haven High Rise with new windows in the community room
- New thermostats and new windows at the Coaldale Family Development.
- Smoke detector replacement at all developments – all units and common areas.
- Energy Efficiency Lighting Modernization at the Shenandoah Family Development and Ashland High Rise.
- Residential Dwelling Addition at the Schuylkill Haven Family Development – ADA bathroom and one bedroom.
- ADA Renovations to one bathroom at the Schuylkill Haven High Rise.
- Cass-Minersville Family Development – converted two units to a three bedroom unit.
- Elevator modernization at the Schuylkill Haven High Rise.

*Status: Capital Fund Program is proceeding on schedule.*

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## Capital Fund Program Status

FFY	% Obligated	% Expended
2010	100%	100%
2011	97%	43%
2012	42%	11%

*See attached P&Es for detail*

**SCHA Goal: Increase assisted housing choices**

**Objectives:**

- Increase voucher payment standards
- Implement voucher homeownership program:

CURRENT HAP INFORMATION									
Bd Size	# HAPs	# HAPs Over PS	Avg Amt Over	Avg HAP Amt	2012 PS	2013 FMR	Proposed PS	Proposed Mo Increase	Tot Mo Increase
0	23	21	\$27	\$166	\$371	\$369	\$371	\$0	\$0
							Remain the same		
1	297	247	\$48	\$252	\$485	\$476	\$490	\$5	\$1,485
							103%		
2	162	137	\$72	\$349	\$587	\$620	\$620	\$33	\$5,346
							100%		
3	115	95	\$73	\$550	\$733	\$850	\$799	\$66	\$7,590
							94%		
4	11	10	\$67	\$627	\$806	\$856	\$856	\$50	\$550
							100%		

<b>TOTAL MONTHLY INCREASE -</b>	<b>\$14,971</b>
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<b>TOTAL YEARLY INCREASE -</b>	<b>\$179,652</b>
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*The Authority will closely monitor the appropriateness of its Payment Standards in order to maintain a workable, high-quality program balanced by the fiscal restraints of the program. Due to the reduced HUD funding, the Authority cannot raise its Payment Standards to the new FMR*

levels. It will continue to closely monitor the appropriateness of the Standards within the fiscal restraints of the program.

SCHA has amended the Payment Standard for the Section 8 Housing Choice Voucher Program effective November 1, 2012 for all new Housing Assistance Payment Contracts and Annual Reexaminations with an effective date of December 1, 2012 as follows:

PAYMENT STANDARDS-EFFECTIVE 11/1/2011 Final FY 2013 FMRs By Unit Bedrooms					
	Efficiency	One-Bedroom	Two-Bedroom	Three-Bedroom	Four-Bedroom
FY 2012 FMR	\$369	\$476	\$620	\$850	\$856
Payment Standard	\$371	\$490	\$620	\$799	\$856

In addition, SCHA has established the payment standard for a 5 bedroom unit at \$984.

The Authority will learn about the voucher homeownership program with the desire of implementing this as an Authority initiative.

The Authority is also performed the rent reasonableness and HQS inspections for the HPRP program through Schuylkill County to ensure consistency with HUD requirements for the Housing Voucher Program.

**SCHA Goal: Provide an improved living environment**

**Objectives:**

- Implement public housing security improvements:

The Authority has installed security cameras at all nine of its family and senior housing developments. The cameras can be viewed via the Internet enabling both the Authority and the Police Departments to monitor activities on the exterior of its buildings. A strong working relationship has been developed with the Schuylkill County Drug Task Force to help eliminate drug activity.

The Authority has also deprogrammed a unit at one family site, with HUD approval, for undercover drug investigative work.

**SCHA Goal: Promote self-sufficiency and asset development of assisted households**

**Objectives:**

- Provide or attract supportive services to improve assistance recipients' employability;
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Promote self-sufficiency and assist families to obtain supportive services through the various service agencies with which the Housing Authority has inter-agency agreements.

During the last five-year period, the Authority established an effective Family Self-Sufficiency program for Section 8 Voucher participants; outreach was provided to Voucher holders to urge their participation; and families have been enrolled. Three of the participating families have

*moved into the houses that were renovated by the Authority's housing corporation with the goal of them becoming homeowners.*

*Food banks have been established and will be continued at all developments for eligible families.*

**SCHA Goal: Ensure equal opportunity and affirmatively further fair housing**

**Objectives:**

*Continue to provide suitable living environments regardless of race, color, religion, national origin, sex, familial status, or disability. Continue to make special outreach efforts to house disabled persons in handicapped housing through the Anthracite Center for Independent Living. Continue to work closely with Career Link, Schuylkill Community Action, VASH, the REDCo Group, Senior Services, Department of Public Welfare, Service Access Management, Allied Services, Schuylkill MH/MR and LHOT (Local Housing Options Team). Allied Services has provided on-site supportive services for persons with disabilities at the Minersville High Rise.*

*The Authority has agreed to participate in a program sponsored by the Pennsylvania Housing Finance Agency (PHFA) which is designed to increase housing resources for person with disabilities and for those transitioning from nursing homes. Under this Tenant Based Rental Assistance (TBRA) program, PHFA will provide up to 24 months of HOME funded rental assistance to disabled persons and those transitioning from nursing homes who are referred by the Authority, while the Authority maintains them on its waiting list(s) until a permanent voucher or public housing subsidy becomes available.*

**Resident Membership on PHA Governing Board:**

***Rose Marteslo –Public Housing Resident at the Cass-Minersville Family Development***

**Resident Advisory Board:**

**SECTION 8**

Mary L. Donton – 145 West Main Street, Apt. 301, Schuylkill Haven, PA 17972  
Grace Ecker – 20 Fourth Street, Atp. 1, Kelayres, PA 18231  
Sheila Karinch – 43 St. John's Street, Apt. 6, Schuylkill Haven, PA 17972  
John Sadiusky – 19 Main Street, Apt. 1A, Middleport, PA 17953  
William Thomas – 222 East Broad Street, Apt. 1006, Tamaqua, PA 18252  
John Lesko – 15 Silvercreek Road, New Philadelphia, PA 17959  
Brenda Misawic – 222 East Broad Street, Apt. 608, Tamaqua, PA 18252  
Renee Breiner – 401 Hazle Street, Apt. 1, Tamaqua, PA 18252

**PUBLIC HOUSING**

**16-2 – Coaldale Housing Development:**

Luis Ontiveros – Unit 321  
Tammy Fisher – Unit 344

**16-3 – Minersville High Rise:**

Beverly Brown – Apt. 1006  
Shirley Peters – Apt. 803  
Fred Cohen – Apt. 610

**16-5 – Schuylkill Haven High Rise:**

Tina Drey – Apt. 208  
Patsy Lins – Apt. 506  
Mary Knott – Apt. 1009  
Cecile Teno – Apt. 1104

**16-7 – Shenandoah High Rise:**

**16-8 Shenandoah Family Development:**

Jamie Dermo – Unit 129  
Anthony Wysoski, Jr. – Unit 203

**16-10 – Cass-Minersville Family Development:**

**16-12 – St. Clair Family Development:**

**16-13 – Schuylkill Haven Family Development:**

Melissa Mimlitsch – Unit 28

**16-14 – Ashland High Rise:**

**(b) *Definition of Significant Amendment and Substantial Deviation/Modification to the Agency Plan:***

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SCHA defines substantial deviation or modification to the Agency Plan as:

- Significant changes to rent or admissions policies or organization of the waiting list;
- A substantial change in a goal(s) identified in the Five Year Plan;
- Significant modifications to major strategies to address housing needs;
- Any change in the planned or actual use of federal funds for activities that would prohibit or redirect the Housing authority's strategic goals of increasing the availability of decent, safe and affordable housing for the citizens of Schuylkill County;
- A need to respond immediately to declarations of emergency due to disasters as identified by Presidential or Governmental order;

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- Additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) or change in the use of funds that exceeds 20% of the Capital Fund Allocation; and
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

An exception to this definition will be made for any new activities that are adopted to reflect changes in HUD regulatory requirements or as result of a declared emergency; such changes will not be considered a substantial deviation or significant amendment or modification by SCHA.

Any significant amendment or substantial deviation/modification to SCHA Plan is subject to the following requirements (including time frames):

- SCHA will consult with the Resident Advisory Board (RAB) (as defined in 24 CFR 903.13);
- The Authority will ensure consistency with the Consolidated Plan of the jurisdiction(s) (as defined in 24 CFR 903.15); and
- SCHA will provide for a review of the amendments/modifications by the public during a 45-day public review period (as defined in 24 CFR 903.17).
- The Housing Authority will adopt the amendment or modification at a duly called a meeting, open to the public, of its Housing Authority Board of Commissioners.
- SCHA will not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures (as defined at 24 CFR 903.23).

A Housing Authority may submit a significant amendment or substantial deviation/modification to HUD up until the last day prior to the date when the next year's Agency Plan is due.

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(c) 11.0 Required Submission for HUD Field Office Review.

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(f) *Resident Advisory Board (RAB) comments.*

**RESIDENT ADVISORY BOARD MEETING**

**COMMENTS ON ANNUAL PLAN**

The following are the comments from the Resident Advisory Board meeting held on November 15, 2012 at the Schuylkill Haven High Rise:

1. Community service is a good thing.
2. The elevators are working good in the Schuylkill Haven High Rise and Minersville High Rise since the modernization upgrades have been completed.

**Comments from Residents**

**Project PA-16-2 – Coaldale Housing Development – November 20, 2012**

(1 resident in attendance)

No comments.

**Project PA-16-3 – Minersville High Rise and Project PA-16-10 – Cass- Minersville Family Development (meeting combined) – November 21, 2012**

(16 residents)

No comments.

**Project PA-16-5 – Schuylkill Haven High Rise – November 16, 2012**

(25 residents in attendance)

One tenant commented that she was happy with the elevator work.

Tenants would like heavy duty washers and dryers.

Tenants would like a change machine.

**Project PA-16-7 – Shenandoah High Rise – November 19, 2012**

(20 residents in attendance)

No comments.

**Project PA-16-8 – Shenandoah Family Development – November 19, 2012**

(6 residents in attendance)

No comments.

**Project PA-16-12 – St. Clair Family Development – November 21, 2012**

(No one attended)

**Project PA-16-13 – Schuylkill Haven Family Development – November 20, 2012**

(4 residents in attendance)

No comments.

**Project PA-16-14 – Ashland High Rise – November 20, 2012**

(5 residents in attendance)

Suggestion made to have a vanity under the bathroom sink and new kitchen cabinets.

**(g) Challenged Elements**

**No elements of the SCHA's Agency Plan were challenged.**

**(h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report***

**(attached electronically).**

**(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan***

**(attached electronically).**

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number Schuylkill County Housing Authority		Locality (City/County & State) Schuylkill Haven, Schuylkill County, Pennsylvania			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2013	Work Statement for Year 2 FFY 2014	Work Statement for Year 3 FFY 2015	Work Statement for Year 4 FFY 2016	Work Statement for Year 5 FFY 2017
	<b>PA-16-1</b> Shenandoah High Rise Shenandoah Family Dev. Ashland High Rise		80,000 0 0	0 250,000 0	0 40,000 80,000	100,000 25,000 100,000
	<b>PA-16-2</b> Minersville High Rise Minersville Family Dev. St. Clair Family Dev.		0 230,000 100,000	0 50,000 0	0 0 0	100,000 0 0
	<b>PA-16-3</b> Schuylkill Haven High Rise Schuylkill Haven Fam. Dev. Coaldale Family Dev.		0 50,000 0	0 163,000 0	175,000 25,000 145,000	275,000 0 0
B.	Physical Improvements Subtotal		460,000	463,000	465,000	600,000
C.	Management Improvements		8,000	8,000	8,000	8,000
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		69,256	69,256	69,256	40,000
F.	Other		70,000	70,000	70,000	25,000
G.	Operations		85,306	82,306	80,306	19,562
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		692,562	692,562	692,562	692,562
L.	Total Non-CFP Funds					
M.	Grand Total		692,562	692,562	692,562	692,562

<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY <u>2013</u>	Work Statement for Year <u>2</u> FFY <u>2014</u>			Work Statement for Year: <u>3</u> FFY <u>2015</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>PA-16-1</b>			<b>PA-16-1</b>		
	<b>Shenandoah High Rise</b>			<b>Shenandoah Fam. Dev.</b>		
	1. Install thermostats and copper return lines	77 units	80,000	1. Replace storm doors in 70 units	140 doors	75,000
				2. Replace entrance doors in 70 units	140 doors	175,000
	<b>Sub-Total</b>		<b>80,000</b>			
				<b>Sub-Total</b>		<b>250,000</b>
	<b>PA-16-2</b>			<b>PA-16-2</b>		
	<b>Minersville Fam. Dev.</b>			<b>Minersville Fam. Dev.</b>		
	1. Replace underground electrical conduit	80 units	100,000	1. Install sidewalks, ramps, stairs	80 units	50,000
	2. Renovate office to ADA accessibility	1 office	15,000			
3. Convert 2 br unit to 1 br for office renos.	1 unit	15,000				
4. Install sidewalks, ramps, stairs	80 units	100,000				
			<b>Sub-Total</b>		<b>50,000</b>	
<b>Sub-Total</b>		<b>230,000</b>				



<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY <u>2013</u>	Work Statement for Year <u>4</u> FFY <u>2016</u>			Work Statement for Year: <u>5</u> FFY <u>2017</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>PA-16-1</b>			<b>PA-16-1</b>		
	<b>Shenandoah Fam. Dev.</b>			<b>Shenandoah High Rise</b>		
	1. Replace electric closet doors	70 doors	40,000	1. Replace kitchens, refrigs, sinks, cabinets, stoves	77 units	100,000
	<b>Sub-Total</b>		<b>40,000</b>	<b>Sub-Total</b>		<b>100,000</b>
	<b>Ashland High Rise</b>			<b>Shenandoah Fam. Dev.</b>		
	1. Replace 2 gas fired boilers	2 boilers	80,000	1. Replace sidewalks	70 units	25,000
	<b>Sub-Total</b>		<b>80,000</b>	<b>Sub-Total</b>		<b>25,000</b>
	<b>PA-16-3</b>			<b>Ashland High Rise</b>		
	<b>Sch. Haven High Rise</b>			1. Replace emergency generator	1 generator	100,000
	1. Replace hot & cold water lines with pex tubing	110 units	175,000	<b>Sub-Total</b>		<b>100,000</b>
	<b>Sub-Total</b>		<b>175,000</b>	<b>PA-16-2</b>		
	<b>Sch. Haven Fam. Dev.</b>			<b>Minersville High Rise</b>		
	1. Install sidewalks	40 units	25,000	1. Replace kitchens, sinks, cabinets, stoves	50 units	100.00
	<b>Sub-Total</b>		<b>25,000</b>	<b>Sub-Total</b>		<b>100,000</b>





<b>Part III: Supporting Pages – Management Needs Work Statement(s)</b>				
Work Statement for Year 1 FFY 2013	Work Statement for Year <u>4</u> FFY 2016		Work Statement for Year: <u>5</u> FFY 2017	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	<b>PHA-Wide</b>		<b>PHA-Wide</b>	
	<b>Automated systems update</b> (Update computers in administrative office)	2,000	<b>Automated systems update</b> (Update computers in administrative office)	2,000
	<b>Automated systems training</b> (Training for updated computers)	2,000	<b>Automated systems training</b> (Training for updated computers)	2,000
	<b>Staff training</b> (EE Training to keep employees up to date with HUD’s requirements)	2,000	<b>Staff training</b> (EE Training to keep employees up to date with HUD’s requirements)	2,000
	<b>Resident activities</b> (Drug program)	2,000	<b>Resident activities</b> (Drug program)	2,000
	Subtotal of Estimated Cost	\$ <b>8,000</b>	Subtotal of Estimated Cost	\$ <b>8,000</b>

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

<b>PHA Name: Schuylkill County Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26P01650111 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2011 FFY of Grant Approval:</b>
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**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: 3 )  
 Performance and Evaluation Report for Period Ending: 10/31/2012       Final Performance and Evaluation Report

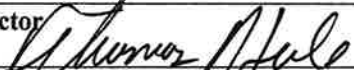
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	153,334	153,334.00	153,334.00	153,334.00
3	1408 Management Improvements	20,000	12,534.00	12,534.00	12,534.00
4	1410 Administration (may not exceed 10% of line 21)	76,667	76,667.00	76,667.00	76,667.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	83,841	83,841.00	83,841.00	83,841.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	432,830	431,796.00	431,796.00	143,622.14
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	0	8,500.00	8,500.00	0.00
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b> Schuylkill County Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26P01650111 Replacement Housing Factor Grant No: Date of CFFP:		<b>FFY of Grant:2011</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	766,672	766,672.00	766,672.00	469,998.14
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	15,130.00	131,523.86	131,523.86	0.00
<b>Signature of Executive Director</b> 		<b>Date</b> 11/10/11/2		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P01650111 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA-Wide	Operations	1406		153,334	153,334.00	153,334.00	153,334.00	100% Complete
PHA-Wide	Management Improvements							
	1. Automated Systems Update (Update computers in administrative office)	1408		8,000	10,034.00	10,034.00	10,034.00	100% Complete
	2. Automated Systems Training (Training for updated computers)	1408		2,000	0.00	0.00	0.00	
	3. Staff Training (EE training to keep employees up to date with HUD's requirements)	1408		4,000	0.00	0.00	0.00	
	4. Resident Activities (Drug Program)	1408		6,000	2,500.00	2,500.00	2,500.00	100% Complete
PHA-Wide	Administration	1410		76,667	76,667.00	76,667.00	76,667.00	100% Complete
PHA-Wide	Fees and Costs	1430		83,841	83,841.00	83,841.00	83,841.00	100% Complete
	Sub-Total			333,842	326,376.00	326,376.00	326,376.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P01650111 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PA-16-1 Shenandoah High Rise	1. Replace smoke detectors in 77 units	1460	84 dets.	0	0.00	0.00	0.00	Moved to 2010
Shenandoah Family Development	1. Install energy efficient light fixtures in 70 units	1460	400 lights	6,630	113,280.00	113,280.00	0.00	From 2009 Approved 2010 Ann. Plan
	2. Replace smoke detectors in 70 units	1460	138 dets.	0	0.00	0.00	0.00	Moved to 2010
	3. Install energy efficient light fixtures in community room,halls, boiler rooms, lobby and outside	1470	27 lights	8,500	8,500.00	8,500.00	0.00	From 2009 Approved 2010 Ann. Plan
Ashland High Rise	1. Replace smoke detectors in 50 units	1460	80 dets.	0	0.00	0.00	0.00	Moved to 2010
	2. Replace lights in units with energy efficient light fixtures	1460	43 lights	0	9,743.86	9,743.86	0.00	From 2012 Approved 2011 Ann. Plan
	<b>Sub-Total</b>			15,130	131,523.86	131,523.86	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P01650111 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PA-16-2 Minersville High Rise	1. Replace smoke detectors in 100 units	1460	155 dets.	0	0.00	0.00	0.00	Moved to 2010
	2. Repair to existing elevators, 12 floors replace motors, controls, buttons rollers, lighting, disconnect switches and emergency generator tie in, add regenerative units,AC in machine room	1460	2 elevator	270,000	165,150.00	165,150.00	0.00	In Progress
Minersville Family Development	1. Replace smoke detectors in 80 units	1460	168 dets.	0	0.00	0.00	0.00	Moved to 2010
St. Clair Family Development	1. Replace smoke detectors in 35 units	1460	79 dets.	0	0.00	0.00	0.00	Moved to 2010
	Sub - Total			270,000	165,150.00	165,150.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P01650111 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PA-16-3	1. Handicap renovations(1 roll in shower 2 faucets, wall fixtures, i interior door, High Rise 1 sink, 100 sq ft asbestos removal 1 toilet	1460	1 unit	30,000	19,368.00	19,368.00	19,368.00	100% Complete
	2. Repalce smoke detectors in 110 units	1460	125 dets.	0	0.00	0.00	0.00	Moved to 2010
	3. Repair s to existing elevators 12 floors, replace motors, controls, buttons, rollers, switches, disconnect switches, and emergency generator tie in regenerative units and AC in machine room	1460	2 elevator	67,700	50,912.60	50,912.60	50,912.60	100% Complete
Schuylkill Haven Family Development	1. Replace smoke detectors in 40 units	1460	82 dets.	0	0.00	0.00	0.00	Moved to 2010
	2. ADA renovations to 1 bathroom, 1 bedroom and walkway	1460	1 unit	50,000	73,341.54	73,341.54	73,341.54	100% Complete

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Schuylkill County Housing Authority					Federal FFY of Grant: 2011
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PHA-Wide	August 2013	August 2012	August 2015	August 2012	
PA-16-1					
Shenandoah High Rise	August 2013	October 2012	August 2015		
PA-16-2					
Minersville High Rise	August 2013	September 2012	August 2015		
PA-16-3					
Schuylkill Haven High Rise	August 2013	September 2012	August 2015	October 2012	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

<b>PHA Name: Schuylkill County Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26P01650112 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2012 FFY of Grant Approval:</b>
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**Type of Grant**  
 Original Annual Statement     Reserve for Disasters/Emergencies     Revised Annual Statement (revision no: 2 )  
 Performance and Evaluation Report for Period Ending: 10/31/2012     Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	138,512	138,512.00	138,512.00	138,512.00
3	1408 Management Improvements	8,000	8,000.00	2,775.00	2,775.00
4	1410 Administration (may not exceed 10% of line 21)	69,256	69,256.00	69,256.00	69,256.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000	70,000.00	50,826.24	44,884.75
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	361,794	361,794.00	134,025.36	0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	45,000	45,000.00	45,000.00	0.00
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b> Schuylkill County Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26P01650112 Replacement Housing Factor Grant No: Date of CFFP:		<b>FFY of Grant:2012</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2012		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	692,562	692,562.00	440,394.60	255,427.75
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	201,794	179,025.36	179,025.36	0.00
<b>Signature of Executive Director</b> <i>Thomas Hale</i>		<b>Date</b> 11/01/12		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26P01650112 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA-Wide	Operations	1406		138,512	138,512.00	138,512.00	138,512.00	100% Complete
PHA-Wide	Management Improvements							
	1. Automated Systems Update (Update computers in administrative office)	1408		4,000	4,000.00	0.00	0.00	
	2. Automated Systems Training (Training for updated computers)	1408		0	0.00	0.00	0.00	
	3. Staff Training (EE training to keep employees up to date with HUD's requirements)	1408		4,000	4,000.00	2,775.00	2,775.00	
	4. Resident Activities (Drug Program)	1408		0	0.00	0.00	0.00	
PHA-Wide	Administration	1410		69,256	69,256.00	69,256.00	69,256.00	100% Complete
PHA-Wide	Fees and Costs	1430		70,000	70,000.00	50,826.24	44,884.75	
	Sub-Total			285,768	285,768.00	261,369.24	255,427.75	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P01650112 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PA-16-1 Shenandoah Family Development	1. Install energy efficient light fixtures in 70 units	1460	500 lights	65,000	0.00	0.00	0.00	Moved to 2011
Ashland High Rise	1. Replace exterior and common area lights with energy efficient lights	1470	90 fixtures	20,000	20,000.00	20,000.00	0.00	From 2012 Approved 2011 Ann Plan
	2. Replace lights in 50 units with energy efficient light fixtures	1460	110 fixts	31,794	20,325.36	20,325.36	0.00	From 2012 Approved 2011 Ann Plan
	<b>Sub-Total</b>			116,794	40,325.36	40,325.36	0.00	

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<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P01650112 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PA-16-2	1. Replace 10 roof exhaust fans	1460	10 fans	35,000	35,000.00	0.00	0.00	From 2012
Minersville								Approved
High Rise								2012 Ann Plan
	2. Replace 2 deep well pumps	1460	2 pumps	30,000	30,000	0.00	0.00	From 2014
								Approved
								2011 Ann Plan
	3. Install every light fixtures in 100 units, asbestos abatement	1460	410 fixts	60,000	113,700.00	113,700.00	0.00	From 2009
								Approved
								2010 Ann Plan
	4. Install energy efficient light fixtures in boiler rooms,commonareas, laundry room, and exterior	1470	50 fixts	25,000	25,000.00	25,000.00	0.00	From 2009
								Approved
	5. Emergency boiler repair 1 350 - 11 water tank	1460	1 water tk	0	11,200.00	0.00	0.00	New Work Item
Minersville	1. Replace 80 100 AMP breaker panels	1460	80 units	140,000	151,568.64	0.00	0.00	From 2012
Family	new services cable 800 ft.,							Approved
Development	12 disconnect switches							2011 Ann Plan
	Sub-Total			290,000	366,468.64	138,700.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Schuylkill County Housing Authority					Federal FFY of Grant: 2012
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PHA-Wide	August 2014		August 2016		
PA-16-1					
Shenandoah High Rise	August 2014	July 2012	August 2016		
PA-16-2					
Minersville High Rise	August 2014		August 2016		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
<b>PHA Name:</b> Schuylkill County <b>Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26P01650113 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2013</b> <b>FFY of Grant Approval:</b>

**Type of Grant**  
 **Original Annual Statement**       **Reserve for Disasters/Emergencies**  
 **Performance and Evaluation Report for Period Ending:**       **Revised Annual Statement (revision no: )**  
 **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	138,512			
3	1408 Management Improvements	8,000			
4	1410 Administration (may not exceed 10% of line 21)	69,256			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	274,500			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	132,294			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b> Schuylkill County Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26P01650112 Replacement Housing Factor Grant No: Date of CFFP:		<b>FFY of Grant:2013</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	692,562			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	279,500			
<b>Signature of Executive Director</b> <i>Thomas Hale</i>		<b>Date</b> 11/01/12		<b>Signature of Public Housing Director</b> Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26P01650113 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA-Wide	Operations	1406		138,512				
PHA-Wide	Management Improvements							
	1. Automated Systems Update (Update computers in administrative office)	1408		2,000				
	2. Automated Systems Training (Training for updated computers)	1408		2,000				
	3. Staff Training (EE training to keep employees up to date with HUD's requirements)	1408		2,000				
	4. Resident Activities (Drug Program)	1408		2,000				
PHA-Wide	Administration	1410		69,256				
PHA-Wide	Fees and Costs	1430		70,000				
	Sub-Total			285,768				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26P01650113 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PA-16-1 Shenandoah High Rise	1. Replace community room/lobby carpeting	1460	3000 sq ft	12,500				New Work Item
	2. Asbestos abatement community room/ lobby	1460	3000 sq ft	15,000				New Work Item
	3. Replace light fixtures with energy efficient fixtures in kitchens, bathroom hallways, closets in 77 units	1460	180 fixtures	32,000				New Work Item
	4. Replace light fixtures in maintainance room, boiler room, lobby rest rooms with energy efficient light fixtures	1470	30 fixtures	5,400				New Work Item
	5. Install outdoor signage	1470	1 sign	2,500				New Work Item
	6. Replace exterior fixtures with new energy efficient fixtures	1470	11 fixtures	2,100				New Work Item
	7. Install card reader and door openers	1470	3 doors	20,000				New Work Item
Shenandoah Family Development	1. Install outdoor signage	1470	1 sign	2,500				New Work Item

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P01650113 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PA-16-2 Minersville High Rise	1. Replace emergency nurse calls in 100 units	1460	100 calls	20,000				New Work Item
	2. Replace community room, lobby front entrance, 47 windows and 4 doors	1460	1850 sq ft glass 4ADA doors	120,000				From 2013 Approved 2012 Ann Plan
	3. Renovate office & library to ADA accessible office	1460	1 office	10,000				New Work Item
	4. Replace community room kitchen with ADA accessible kitchen	1460	1 kitchen	5,000				New Work Item
	5. Replace existing 1000 gallon domestic water storage tank with new energy efficient 600,000 BTU heat exchange	1460	1 heat exchange	50,000				From 2015 Approved 2012 Ann Plan
	6. Replace hot water circulating pumps	1460	3 pumps	10,000				From 2015 Approved 2012 Ann Plan
	Sub-Total			215,000				

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<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Schuylkill County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P01650113 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PA-16-3								
Schuylkill Haven	1. Install outdoor signage	1470	1 sign	2,500				New Work Item
High Rise	2. Replace patio furniture	1470	5 benches	17,794				New Work Item
			4 tables					
			25 chairs					
Schuylkill Haven	1. Install outdoor signage	1470	1 sign	2,500				New Work Item
Family Development								
Coaldale	1. Replace 2 oil fired boilers with 2 new	1470	2 boilers	60,000				New Work Item
Housing	1,900,000 BTU energy efficient							
Development	boilers, controls, burners, pumps							
	2. Install 5 stainless steel chimney	1470	5 liners	12,000				New Work Item
	liners, 3 chimney caps		3 caps					
	3. Install outdoor signage	1470	1 sign	2,500				New Work Item
	Sub-Total			97,294				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Schuylkill County Housing Authority				Federal FFY of Grant: 2013	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PHA-Wide	August 2015		August 2017		
PA-16-1					
Shenandoah High Rise	August 2015		August 2017		
PA-16-2					
Minersville High Rise	August 2015		August 2017		
PA-16-3					
Schuylkill Haven HighRise	August 2015		August 2017		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.